

# Horse Racing Alberta

## Thoroughbred Licence

P.O. BOX 70085 R.P.O. LONDONDERRY  
EDMONTON, ALBERTA, CANADA T5C 3R6  
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Application Year: 2016

### INCOMPLETE OR INCORRECT ANSWERS MAY LEAD TO REFUSAL OR CANCELLATION OF YOUR LICENCE.

|                    |                                            |          |                                             |         |                                               |         |
|--------------------|--------------------------------------------|----------|---------------------------------------------|---------|-----------------------------------------------|---------|
| TO BE LICENCED AS: | <input type="checkbox"/> OWNER             | \$50.00  | <input type="checkbox"/> GROUNDSMAN         | \$5.00  | <input type="checkbox"/> PONY PERSON          | \$10.00 |
|                    | <input type="checkbox"/> OWNER - 3 YEAR    | \$150.00 | <input type="checkbox"/> BUSINESS           | \$30.00 | <input type="checkbox"/> TEST INSPECTOR       | \$5.00  |
|                    | <input type="checkbox"/> TRAINER           | \$50.00  | <input type="checkbox"/> EXERCISE PERSON    | \$10.00 | <input type="checkbox"/> TRADESPERSON         | \$20.00 |
|                    | <input type="checkbox"/> OWNER/TRAINER     | \$75.00  | <input type="checkbox"/> FARRIER/PLATER     | \$40.00 | <input type="checkbox"/> VALET                | \$5.00  |
|                    | <input type="checkbox"/> ASSISTANT/TRAINER | \$40.00  | <input type="checkbox"/> FEEDMAN            | \$20.00 | <input type="checkbox"/> VAN DRIVER           | \$20.00 |
|                    | <input type="checkbox"/> OWNER/OPEN CLAIM  | \$50.00  | <input type="checkbox"/> GROOM              | \$10.00 | <input type="checkbox"/> VETERINARIAN         | \$60.00 |
|                    | <input type="checkbox"/> AUTHORIZED AGENT  | \$20.00  | <input type="checkbox"/> JOCKEY             | \$50.00 | <input type="checkbox"/> VETERINARY AUXILIARY | \$25.00 |
|                    | <input type="checkbox"/> APPRENTICE JOCKEY | \$25.00  | <input type="checkbox"/> JOCKEY AGENT       | \$50.00 | <input checked="" type="checkbox"/> MISC.     | \$10.00 |
|                    | <input type="checkbox"/> RACING OFFICIAL   | \$5.00   | <input type="checkbox"/> ANIMAL HEALTH TECH | \$30.00 |                                               |         |

|                                                                 |                         |                                         |                                  |                 |
|-----------------------------------------------------------------|-------------------------|-----------------------------------------|----------------------------------|-----------------|
| STATUS                                                          |                         | <input checked="" type="checkbox"/> New | <input type="checkbox"/> Renewal | Previous: _____ |
| LAST NAME                                                       | FIRST NAME              | MIDDLE NAME                             | NORMALLY USED                    |                 |
| PERMANENT ADDRESS                                               |                         | TELEPHONE                               | SEX                              |                 |
| CITY, TOWN OR VILLAGE                                           | PROVINCE OR STATE       | POSTAL CODE                             | MARITAL STATUS                   |                 |
| LOCAL ADDRESS                                                   |                         | TELEPHONE                               | HAIR COLOR                       |                 |
| CITY, TOWN OR VILLAGE                                           | PROVINCE OR STATE       | POSTAL CODE                             | EYE COLOR                        |                 |
| PLACE & DATE OF BIRTH                                           |                         | SOCIAL INSURANCE/SOCIAL SECURITY        | HEIGHT                           |                 |
|                                                                 |                         |                                         | WEIGHT                           |                 |
| EMPLOYMENT INFORMATION (OCCUPATION OTHER THAN RACING)           |                         | BUSINESS ADDRESS                        | TELEPHONE                        |                 |
| EMPLOYER                                                        | OCCUPATION              |                                         |                                  |                 |
| HAVE YOU PREVIOUSLY BEEN IDENTIFIED WITH RACING IN ANY CAPACITY | TYPE OF LICENCE(S) HELD | PROVINCE(S)/STATE(S)                    | WHICH YEARS                      |                 |
| <input type="checkbox"/> Yes<br><input type="checkbox"/> No     |                         |                                         |                                  |                 |
| NEXT OF KIN                                                     | RELATIONSHIP            | ADDRESS                                 | TELEPHONE                        |                 |
|                                                                 |                         |                                         |                                  |                 |

### A APPLICANTS FOR AN OWNER'S OR TRAINER'S LICENCE MUST COMPLETE THE FOLLOWING:

| STABLE NAME (IF ANY) <u>ALBERTA THOROUGHBRED RACE CLUB</u>           |                |               |        |               |                                            |
|----------------------------------------------------------------------|----------------|---------------|--------|---------------|--------------------------------------------|
| (SEPARATE REGISTRATION AND FEE REQUIRED)                             |                |               |        |               |                                            |
| HORSES IN TRAINING OWNED (WHOLLY OR IN PART) OR LEASED BY APPLICANT: |                |               |        |               |                                            |
| NAME                                                                 | OWNED OUTRIGHT | JOINTLY OWNED | LEASED | PERCENT OWNED | NAMES OF PARTNERS, LESSORS OR LIEN HOLDERS |
| Krissy Fortynine                                                     |                |               | x      |               |                                            |
| Swift Sally Swift                                                    |                |               | x      |               |                                            |
| Streakin Discreet                                                    |                |               | x      |               |                                            |
|                                                                      |                |               |        |               |                                            |

IF AN OWNER, STATE NAME OF TRAINER ☐ Self Other Craig Smith & Rod Cone  
(NAME)

IF A TRAINER, OR OWNER TRAINER, GIVE NAMES OF ALL OWNERS FOR WHOM YOU ARE TRAINING HORSES:

\_\_\_\_\_

**ALL RACING LICENCE APPLICANTS MUST COMPLETE THE FOLLOWING:**

I hereby consent to and authorize Horse Racing Alberta and the Canadian Pari-Mutuel Agency, or their delegated authorities, to collect, use, and disclose my personal information for the purpose of carrying out their statutory and/or regulatory objects and obligations, including but not limited to enforcement of an equine drug control program, or as otherwise required or authorized by law.

DATE \_\_\_\_\_ SIGNATURE \_\_\_\_\_

FOR H.R.A. USE ONLY

| APPROVED | FEE | RECEIPT NO | LICENCEE NO |
|----------|-----|------------|-------------|
|          |     |            |             |

**REVERSE SIDE MUST BE COMPLETED WHERE APPLICABLE >**

**B APPLICANTS FOR A DRIVER/APPRENTICE JOCKEY/JOCKEY LICENCE MUST COMPLETE THE FOLLOWING:**

|                                                                                                       |       |             |
|-------------------------------------------------------------------------------------------------------|-------|-------------|
| ALBERTA HEALTH CARE NUMBER                                                                            |       | CITIZENSHIP |
| HAVE YOU BEEN LICENCED IN PRIOR YEARS?<br><input type="checkbox"/> Yes<br><input type="checkbox"/> No | Where | WHEN        |
| NAME OF AGENT                                                                                         |       |             |
|                                                                                                       |       |             |

**C APPLICANTS FOR A JOCKEY'S AGENT LICENCE MUST COMPLETE THE FOLLOWING:**

|                                                |
|------------------------------------------------|
| WHAT JOCKEY'S HAVE ENGAGED YOU AS AGENT? _____ |
| _____                                          |

**D APPLICANTS FOR GROOM'S/HOT WALKER'S/ASSISTANT TRAINER'S/SUNDRY LICENCE MUST HAVE THE FOLLOWING COMPLETED BY EMPLOYER:**

|                                                                                                                                                                       |                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| THE APPLICANT _____ IS GAINFULLY EMPLOYED BY ME. UPON THE EMPLOYEE'S TERMINATION, I SHALL NOTIFY HORSE RACING ALBERTA AS TO WHEN AND WHY THE EMPLOYEE LEFT MY EMPLOY. |                             |
| _____ PRINTED NAME OF EMPLOYER                                                                                                                                        |                             |
| _____ 20 _____<br>Date                                                                                                                                                | _____ SIGNATURE OF EMPLOYER |

**E ALL APPLICANTS FOR A LICENCE MUST COMPLETE THE FOLLOWING:**

|                                                                                                                                                                                                                                                                                                           |       |                                                             |                                                                                                                                                    |                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|
| HAS YOUR APPLICATION FOR A LICENCE OF ANY KIND EVER BEEN REFUSED BY ANY RACING COMMISSION OR GOVERNING BODY OF RACING, OR HAVE YOU EVER BEEN REFUSED, SUSPENDED OR EXPELLED FROM ANY RACE TRACK OR HAVE YOU OR YOUR SPOUSE BEEN CONVICTED OF ANY OFFENSE AGAINST ANY RULES OF RACING IN ANY JURISDICTION? |       | <input type="checkbox"/> Yes<br><input type="checkbox"/> No | HAVE YOU OR YOUR SPOUSE BEEN CONVICTED OF ANY CRIMINAL OFFENSE OR AN OFFENSE UNDER THE CRIMINAL CODE OF CANADA OR DO YOU HAVE ANY CHARGES PENDING? | <input type="checkbox"/> Yes<br><input type="checkbox"/> No |
| IF YOUR ANSWER IS YES, TO ONE OR BOTH OF THE QUESTIONS ABOVE, IS IT RECORDED ON FILE WITH THE HRA?                                                                                                                                                                                                        |       | <input type="checkbox"/> Yes<br><input type="checkbox"/> No | IF NOT RECORDED ON FILE, GIVE DETAILS OF EACH CONVICTION                                                                                           |                                                             |
| DATE                                                                                                                                                                                                                                                                                                      | PLACE | NATURE OF RULING/CONVICTION                                 | DISPOSITION OF RULING/CONVICTION                                                                                                                   |                                                             |
|                                                                                                                                                                                                                                                                                                           |       |                                                             |                                                                                                                                                    |                                                             |
|                                                                                                                                                                                                                                                                                                           |       |                                                             |                                                                                                                                                    |                                                             |

I HEREBY CERTIFY THAT THE INFORMATION THAT I HAVE PROVIDED IN THIS APPLICATION IS TRUE AND COMPLETE. BY ACCEPTANCE OF A LICENCE PURSUANT TO IT. I HEREBY AGREE TO COMPLY WITH THE RULES AND REGULATIONS OF HORSE RACING ALBERTA GOVERNING RACING AND TO ACCEPT THE DECISIONS OF THE RACING OFFICIALS AND/OR HORSE RACING ALBERTA AS FINAL ON ANY MATTER RELATING TO A RACE OR RACING.  
I SPECIFICALLY AGREE TO COMPLY WITH THE RULES REGARDING BREATH ANALYSIS AND BODY FLUID TESTS.

I HEREBY CONSENT TO AND AUTHORIZE HORSE RACING ALBERTA TO UNDERTAKE A CRIMINAL CHECK AND CONFIRM WITH ANY POLICE AGENCY THE DETAILS OF ANY CONVICTIONS WHICH MAY HAVE BEEN MADE AGAINST ME FOR ANY OFFENCE UNDER ANY FEDERAL OR PROVINCIAL LEGISLATION AS WELL AS FOR ANY CHARGE WHICH MAY BE OUTSTANDING AGAINST ME UNDER SUCH LEGISLATION.

I FURTHER HEREBY CONSENT TO AND AUTHORIZE ANY POLICE AGENCY TO RELEASE TO HORSE RACING ALBERTA SUCH DETAILS OF CONVICTIONS AND OUTSTANDING CHARGES AS AFORESAID AND FOR SO DOING THIS SHALL BE THEIR GOOD AND SUFFICIENT WARRANT, DISCHARGE AND AUTHORITY, AND ALSO THAT MY NAME MAY BE USED FOR INTERNAL SURVEYS RELATING TO HORSE RACING.

OTHER NAMES I HAVE USED: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

**WARNING:** A FALSE ANSWER TO A QUESTION MAY RESULT IN THE DENIAL OF THIS APPLICATION, OR IN THE REVOCATION OF A LICENCE, IF ISSUED. BE CERTAIN THAT EACH QUESTION IS READ CAREFULLY AND UNDERSTOOD BEFORE ANSWERING IT.